

# Bang for Buck: Best Practices in Pavement Engineering

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APWA

Richmond, CA

6 Nov 2018



# City and County Pavement Improvement Center

[www.ucprc.ucdavis.edu/ccpic](http://www.ucprc.ucdavis.edu/ccpic)



City and County  
Pavement Improvement Center

*Welcome To*  
**CCPIC**



- Sponsored by League of California Cities and California State Association of Counties
- Chartered 28 September 2018

# Mission and Vision for CCPIC

- Mission:
  - *CCPIC works with local governments to increase pavement technical capability through timely, relevant, and practical support, training, outreach and research*
- Vision:
  - *Making Local Government-Managed Pavement Last Longer, Cost Less, and Be More Sustainable*

# Organization

- UC Partners
  - University of California Pavement Research Center (lead), administered by ITS Davis
  - UC Berkeley ITS Tech Transfer, administered by ITS Berkeley
- CSU partners
  - CSU-Chico, CSU-Long Beach, Cal Poly San Luis Obispo
  - Funding partner: Mineta Transportation Institute, San Jose State University
- Governance:
  - Governance Board consisting of 3 city and 3 county transportation professionals
- Funding
  - Funding to set up CCPIC and initial activities from the state legislature, SB1 funding through the ITS at UCD and UCB

# CCPIC Scope of Work

- Deliver training and technology transfer
- Develop guidance, specifications, and tools
- Establish and deliver a pavement engineering and management certificate program
- Create and operate a resource center
- Provide research and development support

The screenshot displays a grid of nine resource categories, each with a red header and a 'More' button. The categories and their contents are:

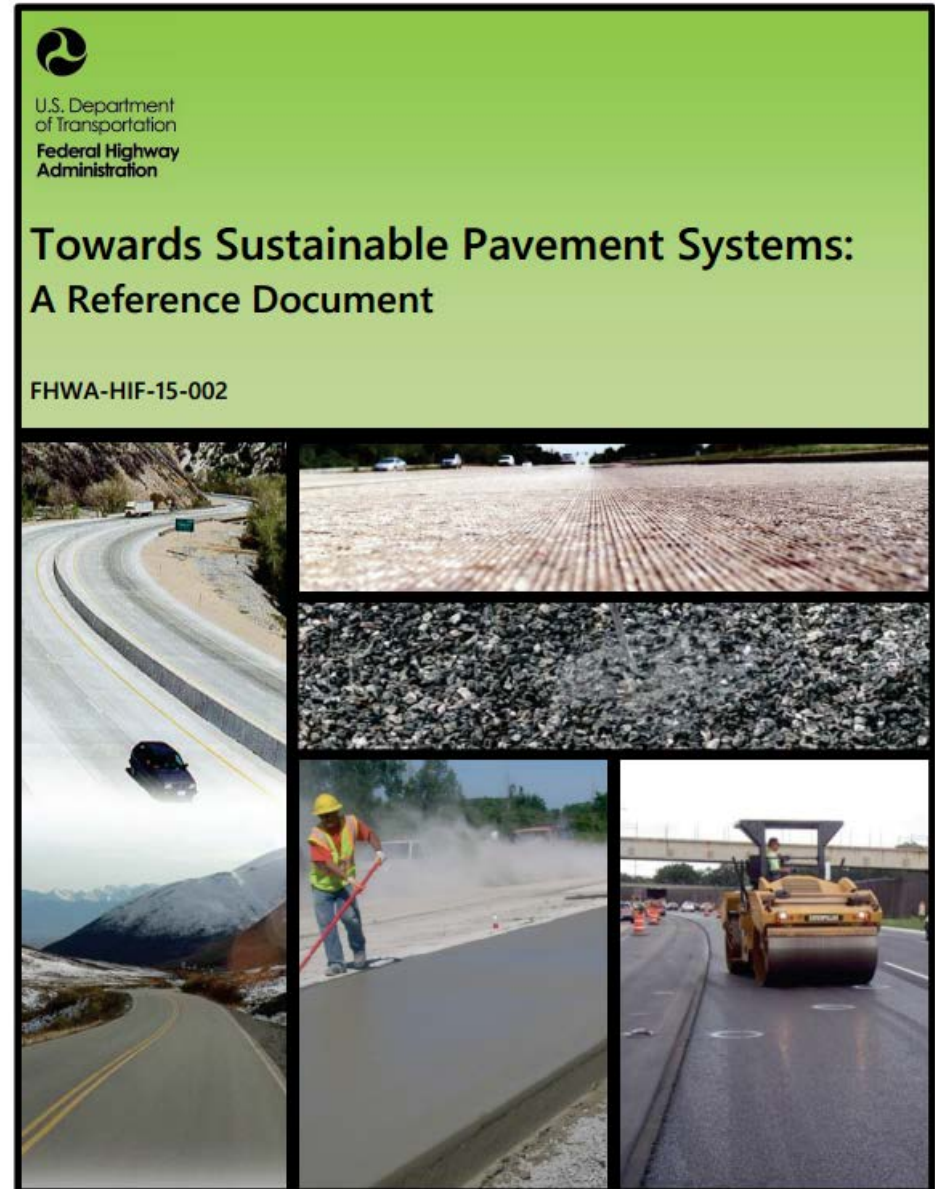
- Best Practices**: Answers to common problems
  - [Writing and Enforcing Specs for Asphalt Compaction](#)
  - [Writing Concrete Specs for Durability and Sustainability](#)
  - [Unpaving to Create Affordable, Safe, Smooth Gravel Roads](#)
- Training Classes**: Pavement Training
  - [Pavement management class \(CCPIC subsidized price\)](#)
  - [Asphalt pavement maintenance & rehab class \(CCPIC subsidized price\)](#)
- Web Training & Webinars**: Coming Soon!!
  - Item 1
  - Item 2
- Presentations**: For Viewing and Downloading
  - [LCCA-Cities of Berkeley, Davis & Martinez-April 6, 2018](#)
  - [Perm Pave-Cities of Berkeley, Davis & Martinez-April 6, 2018](#)
  - [Contra Costa Transportation Authority-April 4, 2018](#)
  - [League of California Cities Expo-Sept 15, 2017](#)
- Sample Specs**: Coming Soon!!
  - Item 1
  - Item 2
- Tools**: Pavement Software Tools
  - Life Cycle Cost Analysis Comparison Spreadsheet ([Download](#))
  - Unpaved Road Chemical Treatment Selection [Website](#)
  - Asphalt Paving Compaction Temperature ([Download & Install](#))
- Unpaved Roads**: Materials for Unpaved Roads
  - [Unpaved roads dust control guidance](#)
- Peer-To-Peer**: Coming Soon!!
  - Item 1
  - Item 2
- Workshops**: Summary Info & Presentations
  - [Permeable Pavement Road Map 2017](#)

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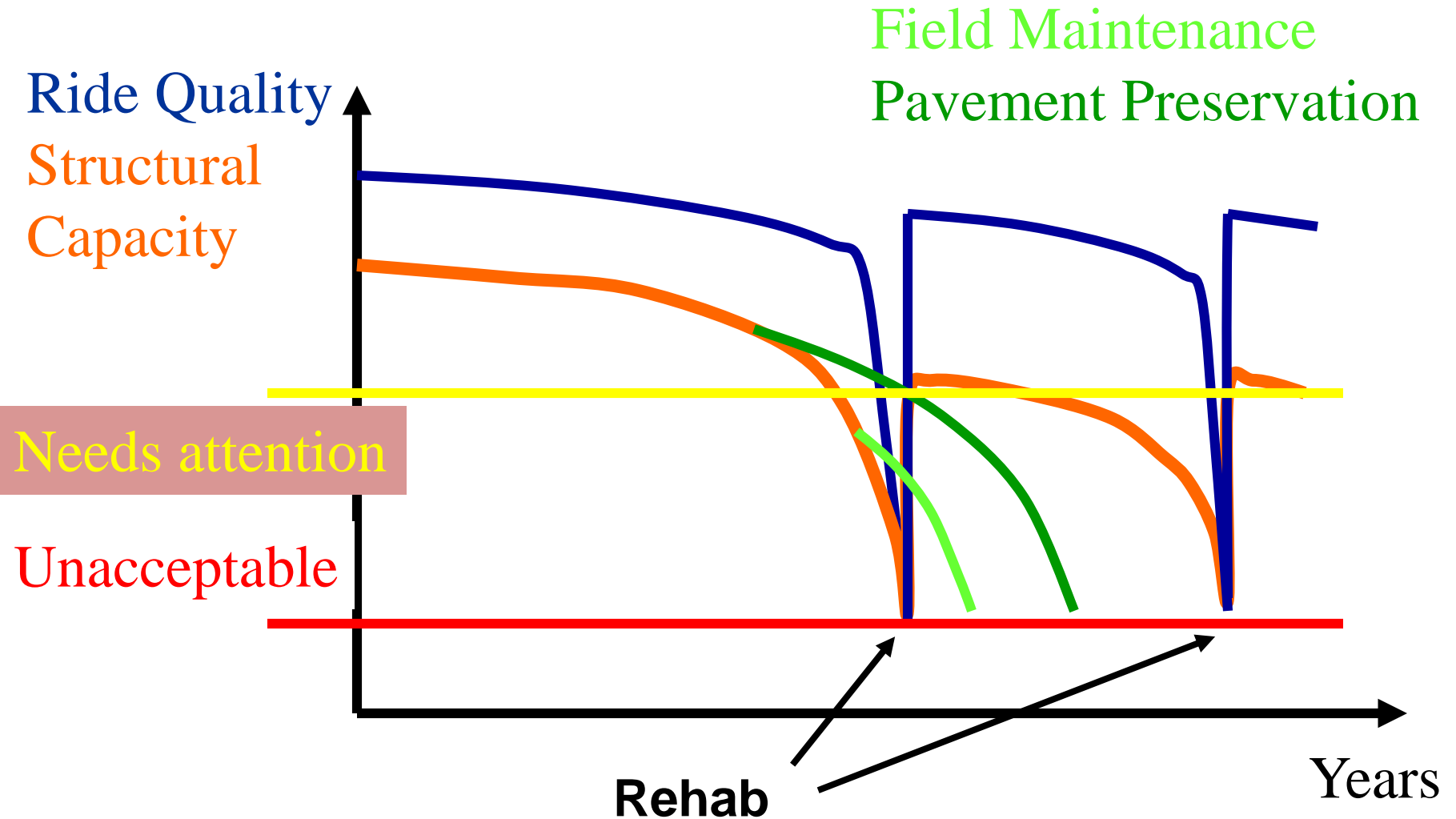
# So what can be done to make pavements more sustainable?

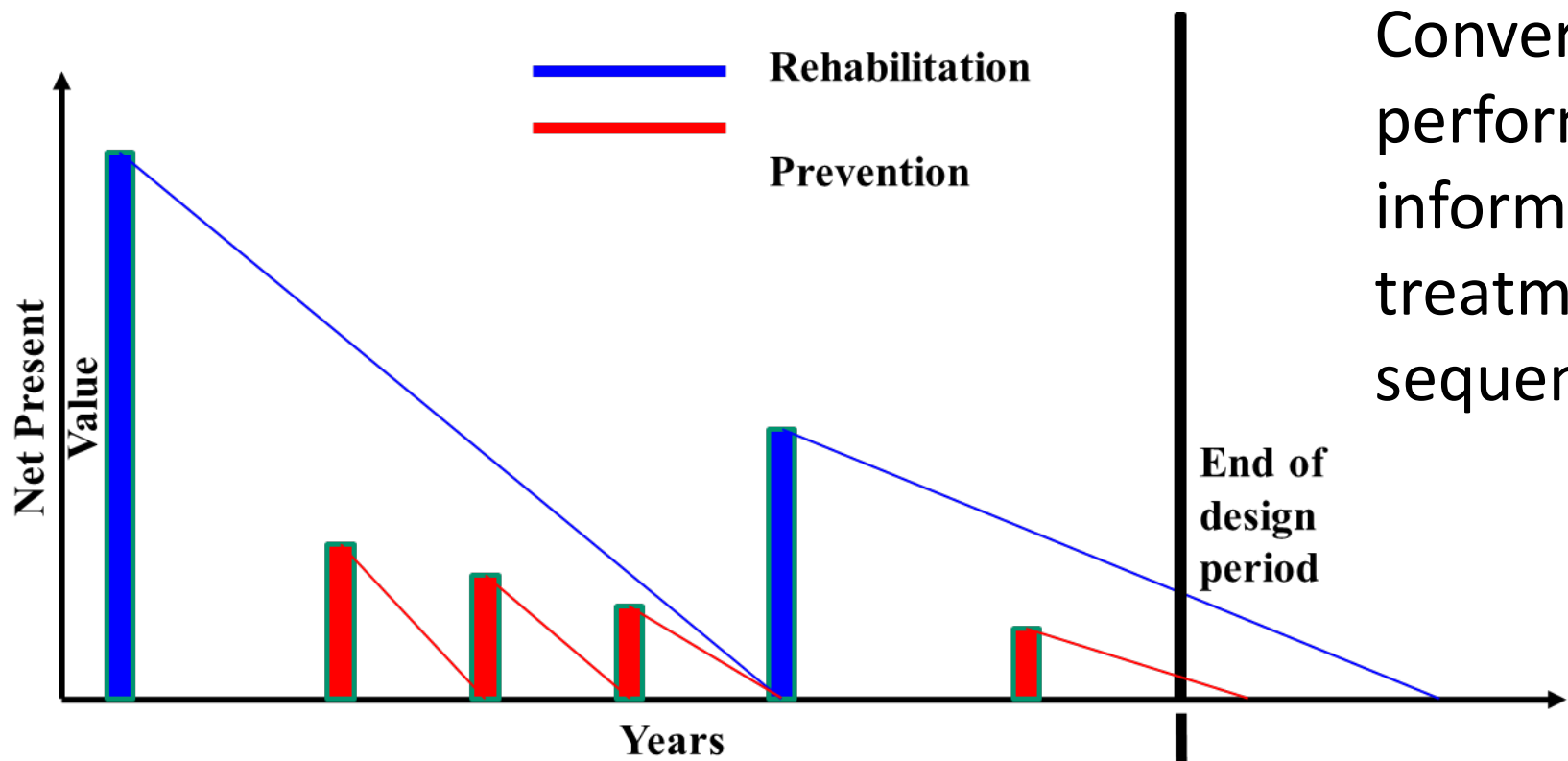
- FHWA Sustainable Pavements Task Group
  - More sustainable pavement reference document (2015)
  - Covers everything about pavement and sustainability
  - Tech briefs and webinars
- Google “FHWA sustainable pavement”

[http://www.fhwa.dot.gov/pavement/sustainability/ref\\_doc.cfm](http://www.fhwa.dot.gov/pavement/sustainability/ref_doc.cfm)

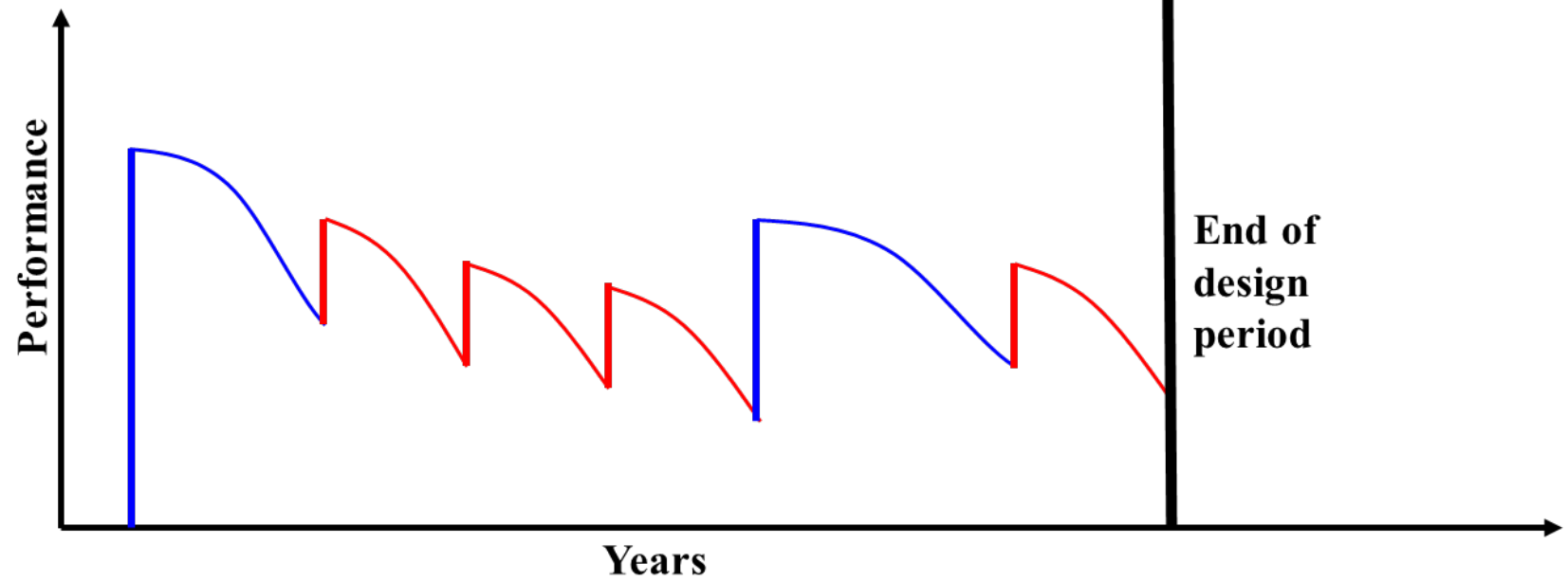


# Life Cycle Cost Analysis (LCCA) Basics





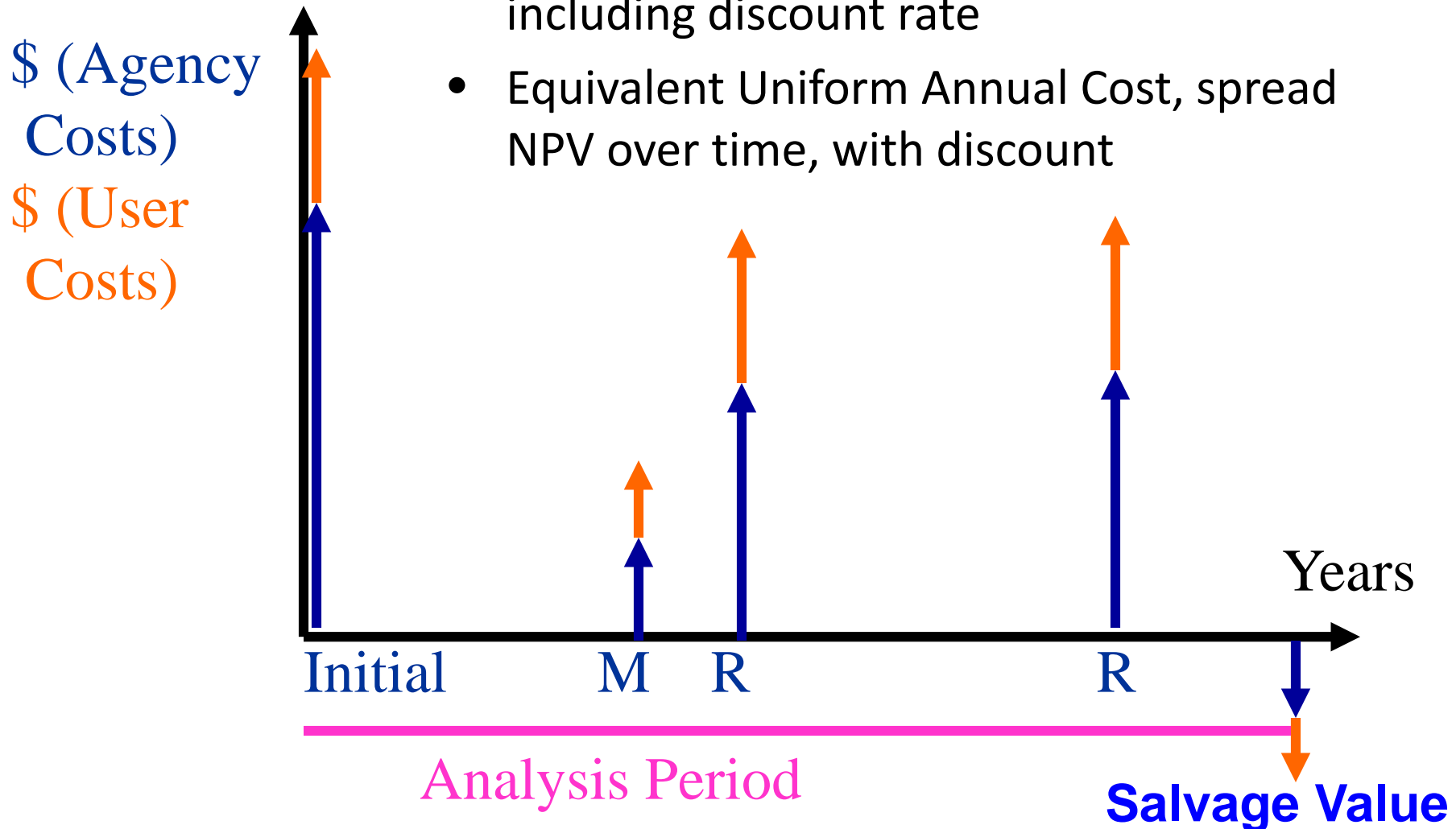
Converting performance information to treatment/cost sequence





# LCCA calculations

- Net present value =  
add up the costs over the analysis period,  
including discount rate
- Equivalent Uniform Annual Cost, spread  
NPV over time, with discount



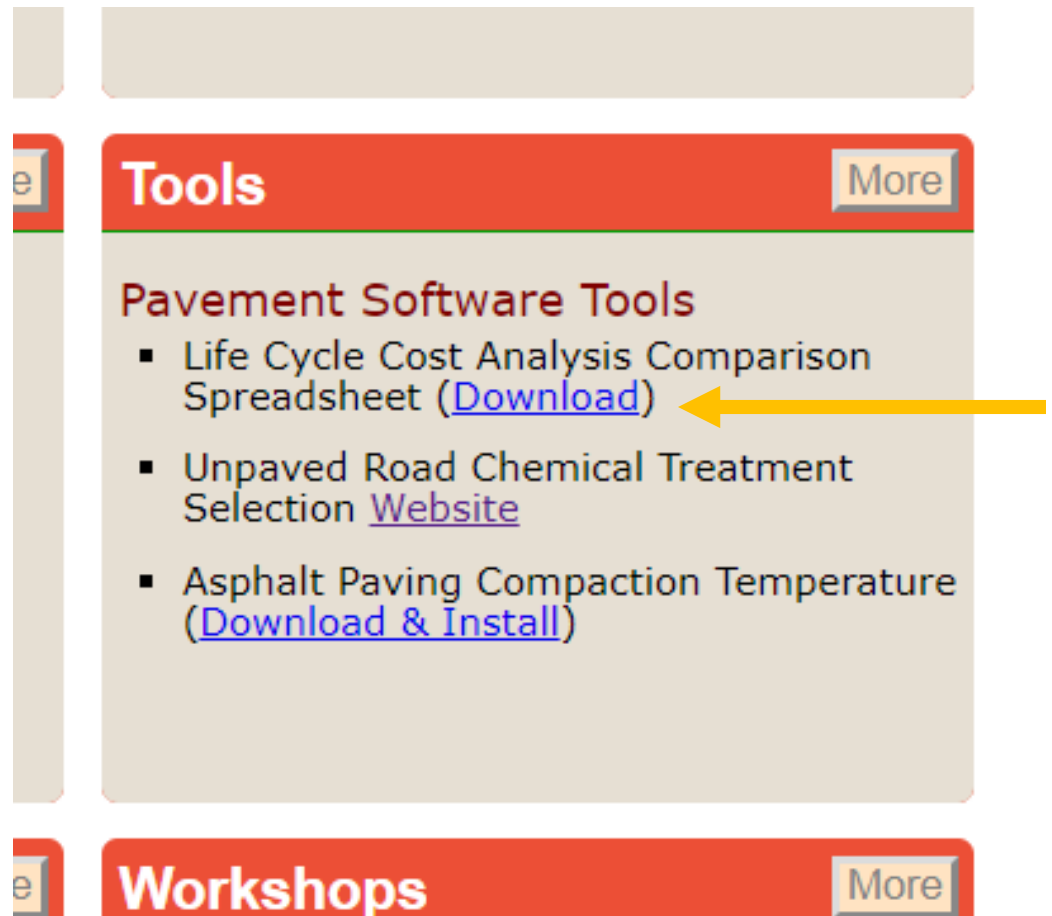
# Where can LCCA be implemented?

- PMS decision tree optimization
  - Condition trigger levels for treatment (timing)
  - Treatment selection
- Pavement type selection
- Policy evaluation
  - Materials changes
  - Construction quality specifications
  - Design methods

# CCPIC LCCA Excel tool

Download at: <http://www.ucprc.ucdavis.edu/ccpic/>  
or Google “CCPIC UCPRC”

- Excel tool to calculate Net Present Value, Salvage Value and Equivalent Uniform Annual Cost
- Can compare 3 scenarios side by side
- Can choose and edit the list and sequence of treatments



The screenshot shows a navigation menu with a red header bar labeled "Tools" and a "More" button. Below the header, the "Pavement Software Tools" section is visible, containing three items:

- Life Cycle Cost Analysis Comparison Spreadsheet ([Download](#))
- Unpaved Road Chemical Treatment Selection [Website](#)
- Asphalt Paving Compaction Temperature ([Download & Install](#))

A yellow arrow points to the "Download" link in the first item.

Below the "Tools" section, another red header bar labeled "Workshops" and a "More" button are visible.

# CCPIC LCCA Excel tool

- Excel tool to calculate Net Present Value, Salvage Value and Equivalent Uniform Annual Cost
- Can compare 3 scenarios side by side
- Can choose and edit the list and sequence of treatments

The screenshot displays the Microsoft Excel interface for the CCPIC LCCA tool. The ribbon includes File, Home, Insert, Page Layout, Formulas, Data, Review, View, Developer, and Help. The Home ribbon is active, showing options for Clipboard, Font, Alignment, Number, Styles, Cells, and Editing. The spreadsheet is divided into three columns for Scenario 1, Scenario 2, and Scenario 3. Each scenario has a header row with 'Scenario 1', 'Scenario 2', and 'Scenario 3' respectively. Below the headers, there are input fields for 'Analysis Period' (set to 20) and 'Discount Rate' (set to 4). The 'Total Net Present Value' is calculated as \$0.00 for each scenario. Below these, there are 'Clear table for Scenario 1', 'Clear table for Scenario 2', and 'Clear table for Scenario 3' buttons. The 'Total Salvage Value' is also calculated as \$0.00 for each scenario. The main data table has columns for 'Sequence of treatments', 'Treatment', 'Treatment No.', 'Year of work', 'Inclusion in Analysis Period', 'NPV@ Discount Rate', 'SV@ Discount Rate', 'Remarks', and 'Equivalent Uniform Annual Cost'. The bottom status bar shows 'Treatment DB', 'NPV and SV', 'Calculations', and 'Sheet1'.

## Inputs

# LCCA Excel tool

## Outputs

1. Treatment type
2. Year of work
3. Discount rate
4. Analysis period

1. Total NPV
2. Total SV
3. EUAC

Scenario 1

<b>Analysis Period</b>	50	<b>Discount Rate</b>	3	<b>Total Net Present Value</b>	\$0.00			
Clear table for Scenario 1				<b>Total Salvage Value</b>	\$0.00			
<i>Sequence of treatments</i>	<i>Treatment</i>	<i>Treatment No.</i>	<i>Year of work</i>	<i>Inclusion in Analysis Period</i>	<i>NPV@ Discount Rate</i>	<i>SV@ Discount Rate</i>	<i>Remarks</i>	<i>Equivalent Uniform Annual Cost</i>
	<div style="border: 1px solid gray; padding: 2px;">                     Slurry Seal-Type II                      Slurry Seal-Type III                      Microsurfacing-Type II                      Microsurfacing-Type III                      Chip Seal                      Rubberized Cape Seal                      Asphalt Overlay-1.5"                      Asphalt Overlay-2.5"                      Asphalt Mill and Fill                      Rubberized Asphalt Over                      FDR-PC-10"                      FDR-PC-12"                      FDR-PC-18"                      FDR-FA-10"                      FDR-FA-12"                 </div>							

NPV and SV    Calculations    Sheet1    +

# LCCA Excel tool

Editable:

- Functional Unit
- Treatment List: Cost, Life of Treatment

Functional Unit	SY				
	7040	1 ln mi			
Treatment Name	Treatment No.	Cost/SY		Total Cost	Life of Investment
Slurry Seal-Type II	1	7		49280	3
Slurry Seal-Type III	2	7		49280	7
Microsurfacing-Type II	3	7		49280	5
Microsurfacing-Type III	4	7		49280	5
Chip Seal	5	10		70400	5
Rubberized Cape Seal	6	6		42240	7
Asphalt Overlay-1.5"	7	10		70400	10
Asphalt Overlay-2.5"	8	20		140800	13
Asphalt Mill and Fill	9	38		267520	20
Rubberized Asphalt Overlay	10	30		211200	20
FDR-PC-10"	11	40		281600	10
FDR-PC-12"	12	45		316800	15
FDR-PC-18"	13	50		352000	20
FDR-FA-10"	14	35		246400	7
FDR-FA-12"	15	40		281600	10
CIR-4"	16	25		176000	5
CIR-5"	17	27		190080	7
CIR-6"	18	30		211200	10
BCOA-4"	19	35		246400	7
BCOA-5"	20	37		260480	10
BCOA-6"	21	40		281600	12
Cape seal-2.5"	22	10		70400	5
Remove/replace	23	52		366080	20
Pulv HMA/compact	24	26		183040	20

# Performance prediction is key to good pavement management and LCCA

- Pavement Management Systems
  - Performance estimates are typically in terms of pavement condition index (PCI)

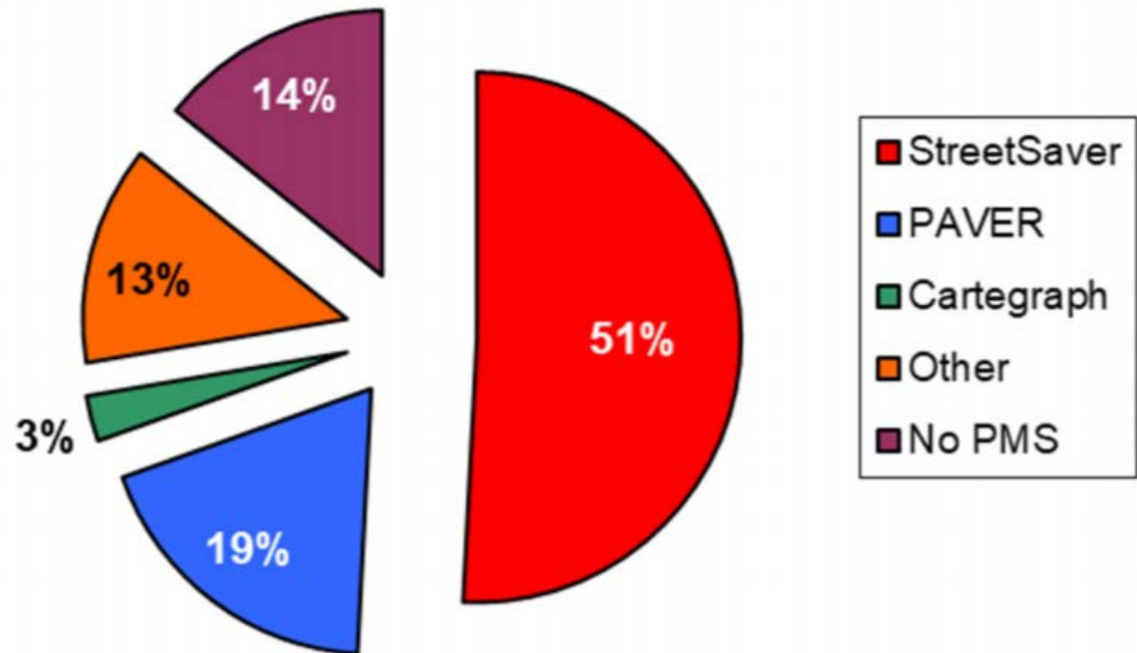


Figure B.4 PMS Software Used By Cities And Counties

# Some changes that can be considered to improve life cycle cost

- Pavement management and preservation
  - Treatment timing
  - Treatment selection
  - Treatment sequence
- Asphalt compaction



# Life cycle cost analysis results for alternative scenarios for asphalt pavement

*Asphalt Mill and Fill - \$38/SY*  
*Microsurfacing - \$14/SY*

Schedule A

Treatment	Year
Asphalt Mill and Fill	0
Microsurfacing	12
Microsurfacing	20
Microsurfacing	28
Asphalt Mill and Fill	33
Microsurfacing	45

Schedule B

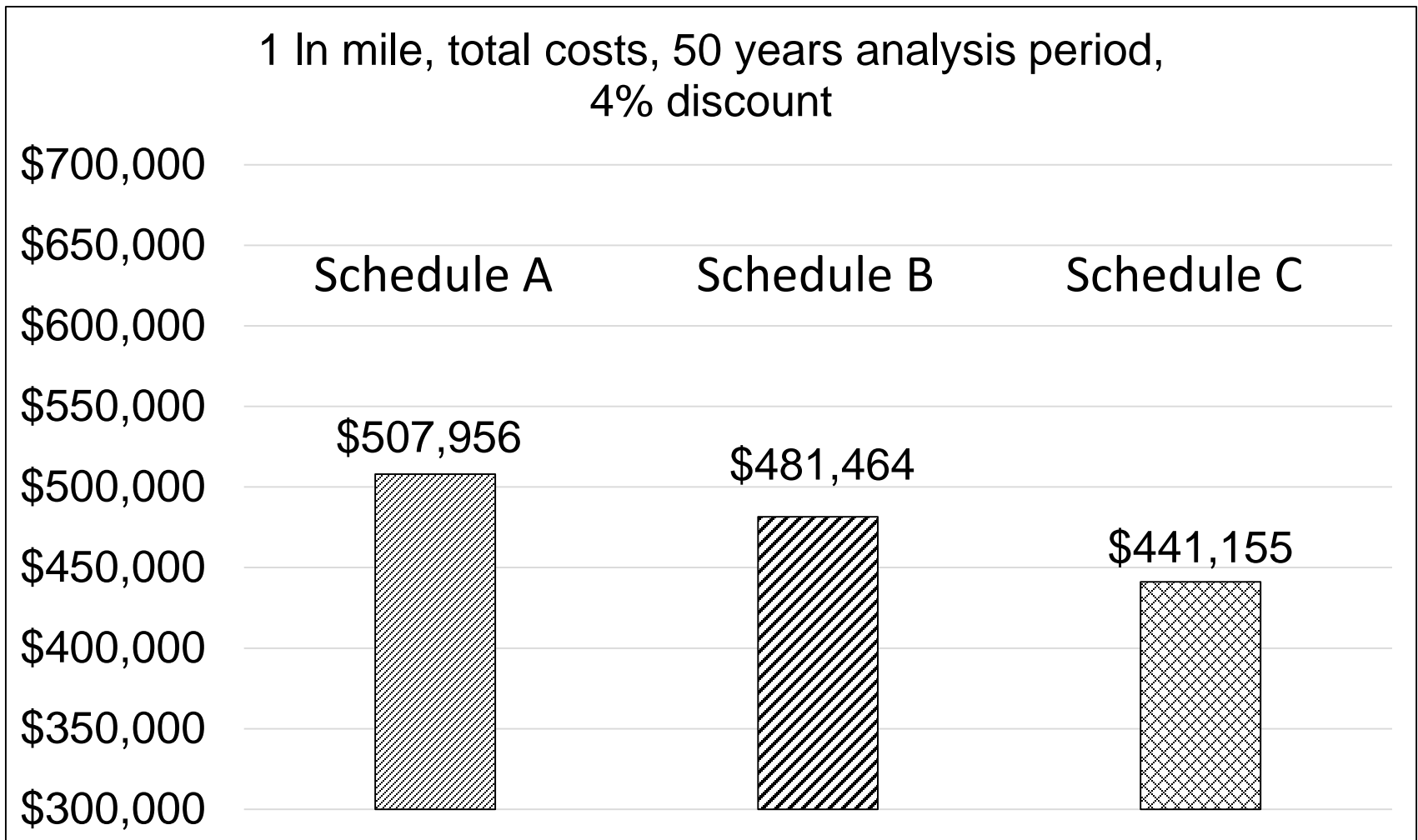
Treatment	Year
Asphalt Mill and Fill	0
Microsurfacing	13
Microsurfacing	23
Asphalt Mill and Fill	29
Microsurfacing	42

Schedule C

Treatment	Year
Asphalt Mill and Fill	0
Microsurfacing	15
Asphalt Mill and Fill	26
Microsurfacing	41

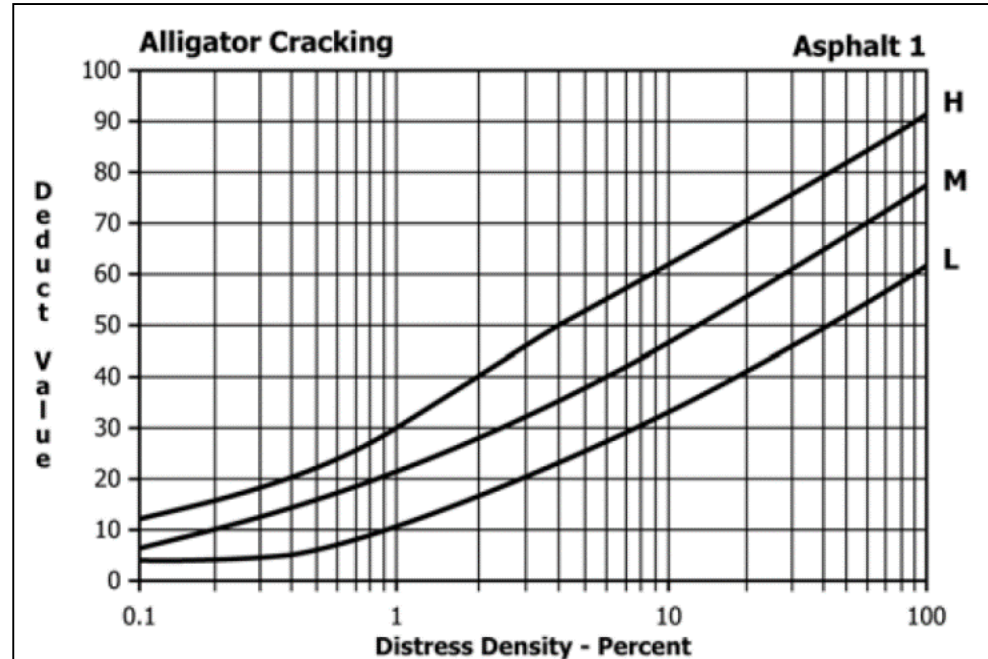
# Life cycle cost analysis results

Results will vary depending on relative costs, discount rate, performance estimates



# Pavement management: Use of PCI vs measured cracking

- PCI is amalgamation of different distresses
- Can have same PCI for very different conditions
- Engineering meaning in the condition survey is lost
- Recommend



- Use PCI as communication tool for management/public
- Manage asphalt pavement considering:
  - Cracking: age and traffic caused
  - Other distresses (rutting, raveling)

## Same PCI, different pavement condition

<b>CASE 1: TRAFFIC LOADING RELATED, PCI = 34</b>			
DISTRESS	SEVERITY	QUANTITY	DV
Alligator Cracks	High	1x6	18
Alligator Cracks	Medium	1x4 1x5 1x7	17
Potholes	Medium	3	48
Potholes	Low	3	30
Rutting	Low	2x5 2x8	10
<b>CASE 2: AGE, CONSTRUCTION, UTILITIES, OTHER FACTORS, PCI = 32</b>			
Long/Trans Crack	High	15 20 8 6 12 18 6x7	43
Long/Trans Crack	Medium	25x2 18 13 9 10	20
Patching/Utility	High	25x4 25x2	40
Patching/Utility	Medium	12x6 4x7	20
Block Cracks	High	4x6 6x5	13

# Variables in the PCI for asphalt pavement

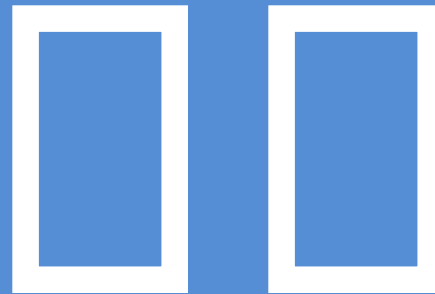
- Fatigue cracking and potholes caused by heavy loads:
  - Alligator cracking
  - Potholes
- Cracking caused by aging:
  - Block cracking
  - Joint reflections
  - Longitudinal and transverse cracking
- Other distresses
  - Low ride quality
  - Bleeding
  - Bumps and sags
  - Corrugations
  - Depressions
  - Edge cracking
  - Lane/shoulder drop-off
  - Patching and utility cut patching
  - Polished aggregate
  - Rutting
  - Shoving
  - Slippage cracking
  - Swelling
  - Weathering and raveling

# Bottom Up Fatigue Cracking

- Interaction of asphalt concrete layer, support of underlying structure, materials selection, construction compaction
- Traffic loading
  - Only the truck loads count, cars are too light
  - slower speeds = longer durations = bigger strains
- Environment
  - temperature
  - water sensitivity
  - aging

# Fatigue Cracking

Bottom up  
cracking



Asphalt  
Concrete

← Tensile Strain  $\epsilon_t$  →

Base

Sub-Base

Subgrade

# Initial Wheelpath Cracking (transverse or longitudinal)





# Cracks connect: Alligator Cracking (Caltrans calls “Type B”)

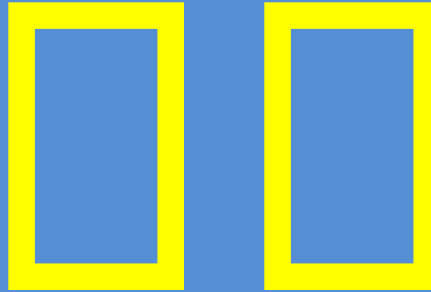


# Fatigue Cracking in Wheelpaths



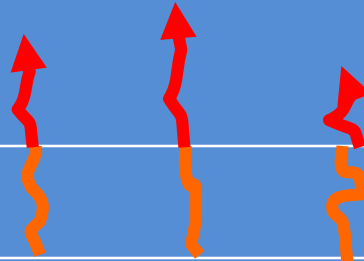
# Reflective Cracking

Bottom up  
cracking



Asphalt  
Concrete

*Cracked AC, PCC or CTB*



Base

Sub-Base

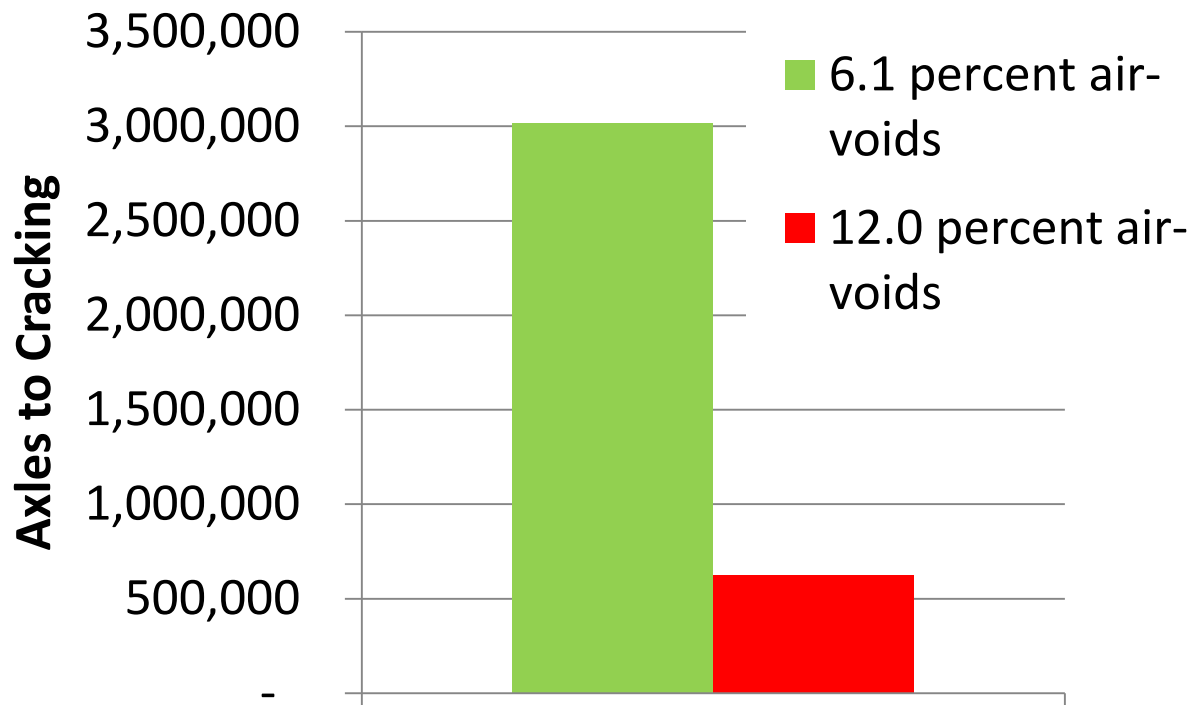
Subgrade

# Reflection Crack over PCC Joint



# Effect of asphalt construction compaction on axle loads to cracking

## 3 inch asphalt pavement



General rule:  
1% increase in  
constructed  
air-voids  
= 10%  
reduction in  
fatigue life

Simulation based on FHWA Westrack project field results

# Treatment for load related fatigue cracking

- Asphalt will fatigue
- Surface treatments will slow some
- Will need to do periodic mill and fill
- Do not let wheelpath cracking become extensive or must reconstruct



# Aging of the Asphalt

- Aging of the asphalt
  - Caused by oxidation, volatilization
  - Faster if high permeability and temperature
  - Permeability greatly reduced with better asphalt compaction
- Effects
  - Stiffening of mix with time
  - Won't relax stresses from thermal contraction as well





# Block Cracking

- Typically caused by long-term aging of asphalt concrete and daily temperature cycling (expansion/contraction)
- May also be reflection cracking from shrinkage cracks in cement treated base
- Poor asphalt construction compaction allows air to enter and age the asphalt faster, accelerates aging





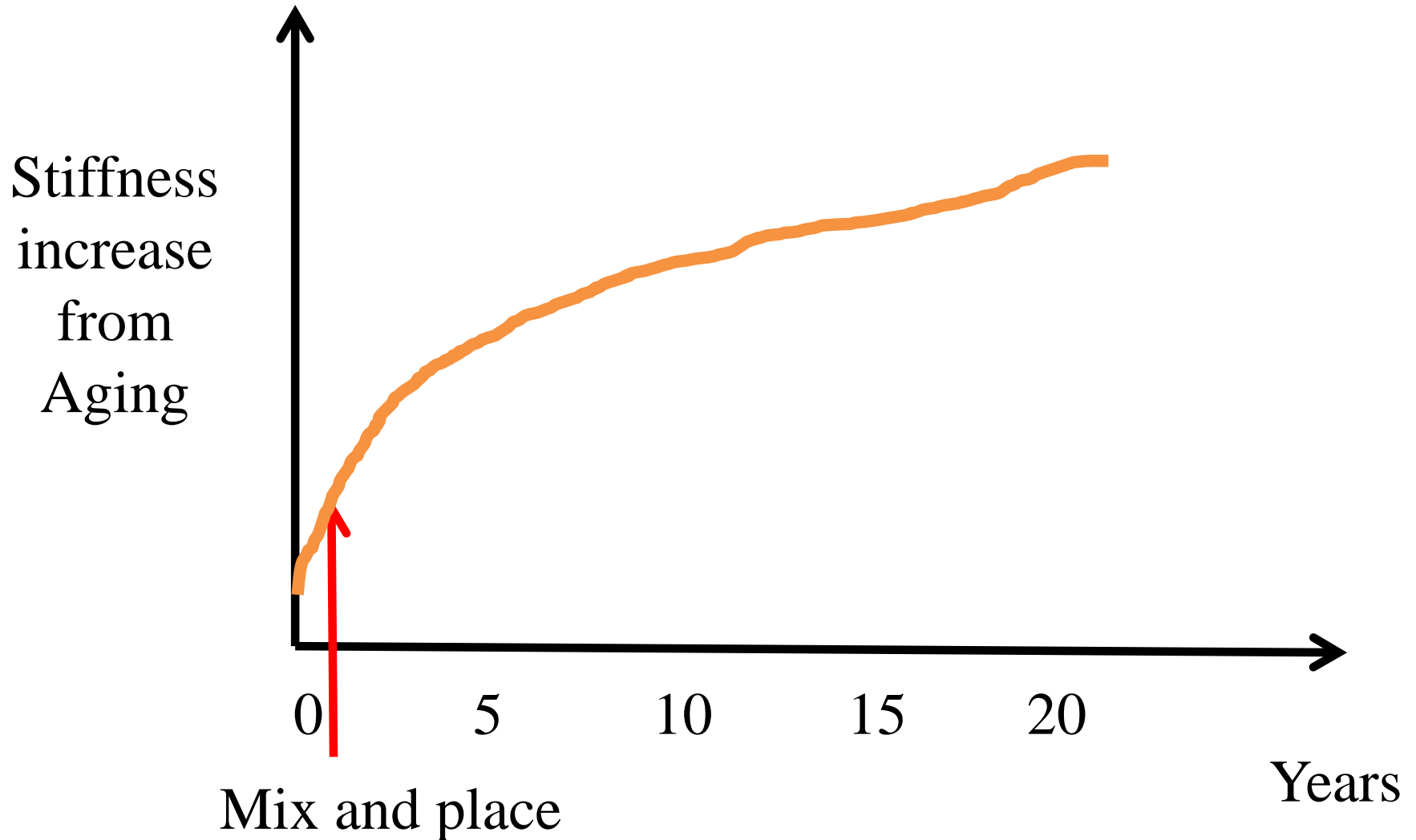
# Block Cracking

Top down  
cracking



# Aging

mostly done by 5 years after placement





# Treatment for age-related cracking

- Keep the surface protected from aging
- Can potentially use perpetual slurries or microsurfacing
- What frequency?
  - Do not let cracking get extensive
  - But doing more frequently than needed can be a waste



# Example fatigue vs age-related treatment sequences

*Asphalt Mill and Fill - \$38/SY*  
*Microsurfacing - \$14/SY*

Aging related distresses  
 (no diminishing prevention treatment lives)

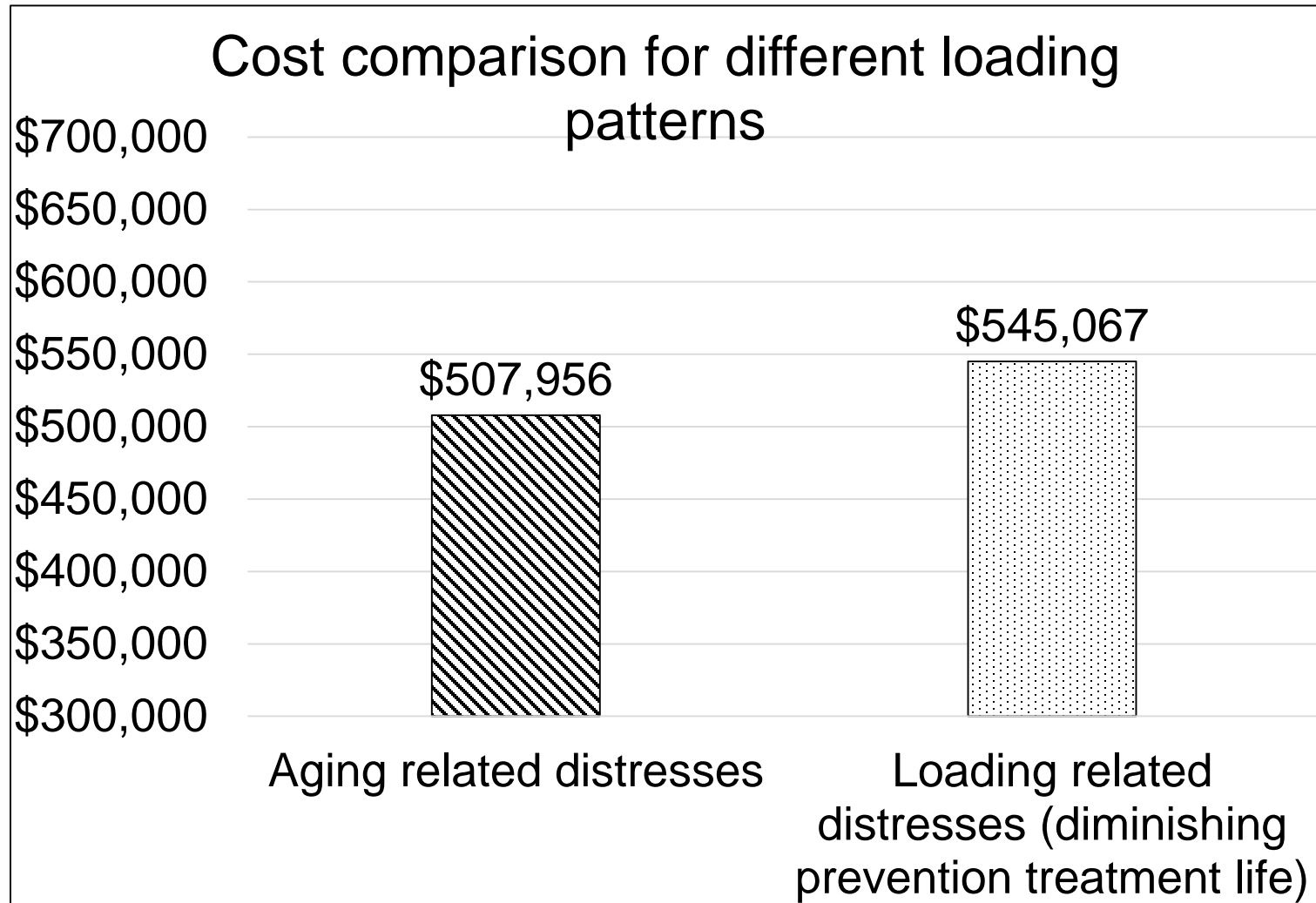
Treatment	Year
Asphalt Mill and Fill	0
Microsurfacing	12
Microsurfacing	20
Microsurfacing	28
Asphalt Mill and Fill	33
Microsurfacing	45

Load related distresses  
 (diminishing prevention treatment lives)

Treatment	Year
Asphalt Mill and Fill	0
Microsurfacing	12
Microsurfacing	19
Microsurfacing	25
Asphalt Mill and Fill	29
Microsurfacing	41
Microsurfacing	48

# Life cycle cost analysis results

Example fatigue vs age-related  
treatment sequences



# Recommendation for use of LCCA

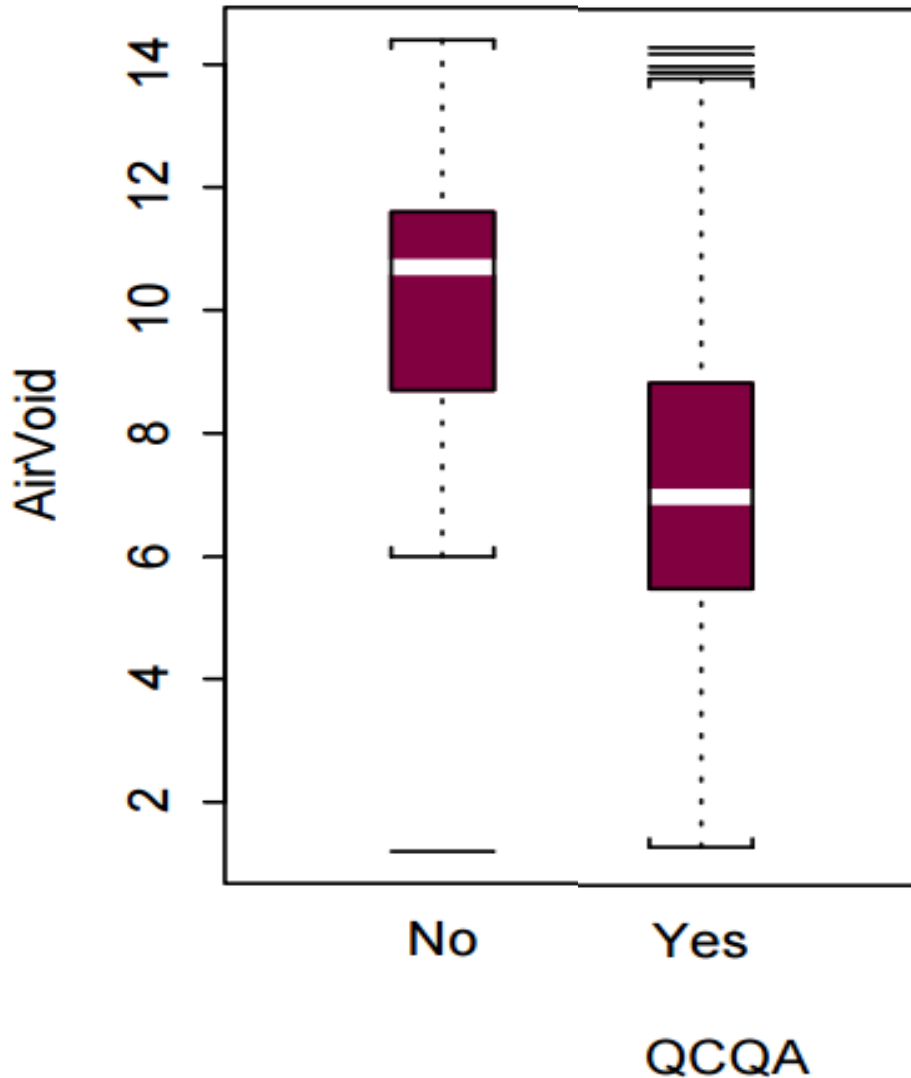
- Pavement management
  - Use PCI in network-level analysis to set overall budget, measure network condition
  - Do treatment selection engineering work based on truck/bus traffic level, cracking and surface defects data, not PCI
  - Use your costs, cracking predictions and LCCA to develop best sequences of treatments
    - Look at your fatigue and aging-related cracking data
    - Estimate treatment lives
  - Learn to use LCCA to discuss with council/board

# Recommendation for how to get good asphalt compaction

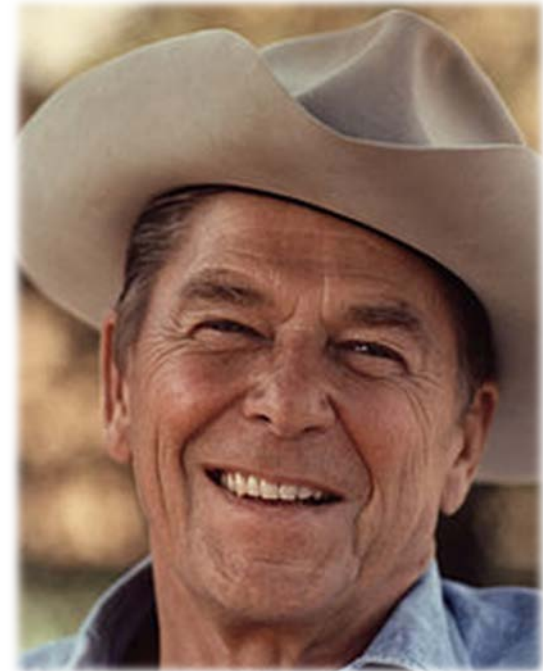
- Use a quantitative (QC/QA) specification to measure compaction
- Write spec in terms of *in-place bulk density* and *theoretical maximum density* (TMD) and not *laboratory theoretical maximum density* (LTMD)
- Use cores or nuclear gauges calibrated for the specific mix/project to provide daily feedback to contractor and agency
- Apply payment reductions if they don't meet your specification, and enforce those payment reductions



# Caltrans experience with method spec vs using in-place measurement and penalties (QC/QA)



- Spec changed in 1996-98
- Very large culture change in Caltrans



“Trust but verify”



# But what about?

- Won't this increase the bid cost for my asphalt?
- Isn't the cost of managing this specification high?
- Won't coring damage my new pavement?
- What can I do to help my contractors meet and exceed the specification and further increase the life of my overlays?



# Compaction effects repeated mill and fill

- 3% change in air-voids is about 30% change in cracking life

*Asphalt Mill and Fill - \$38/SY*

9% AV – Usual practice

Treatment	Year
Asphalt Mill and Fill	0
Asphalt Mill and Fill	18
Asphalt Mill and Fill	36

12% AV – Poor compaction

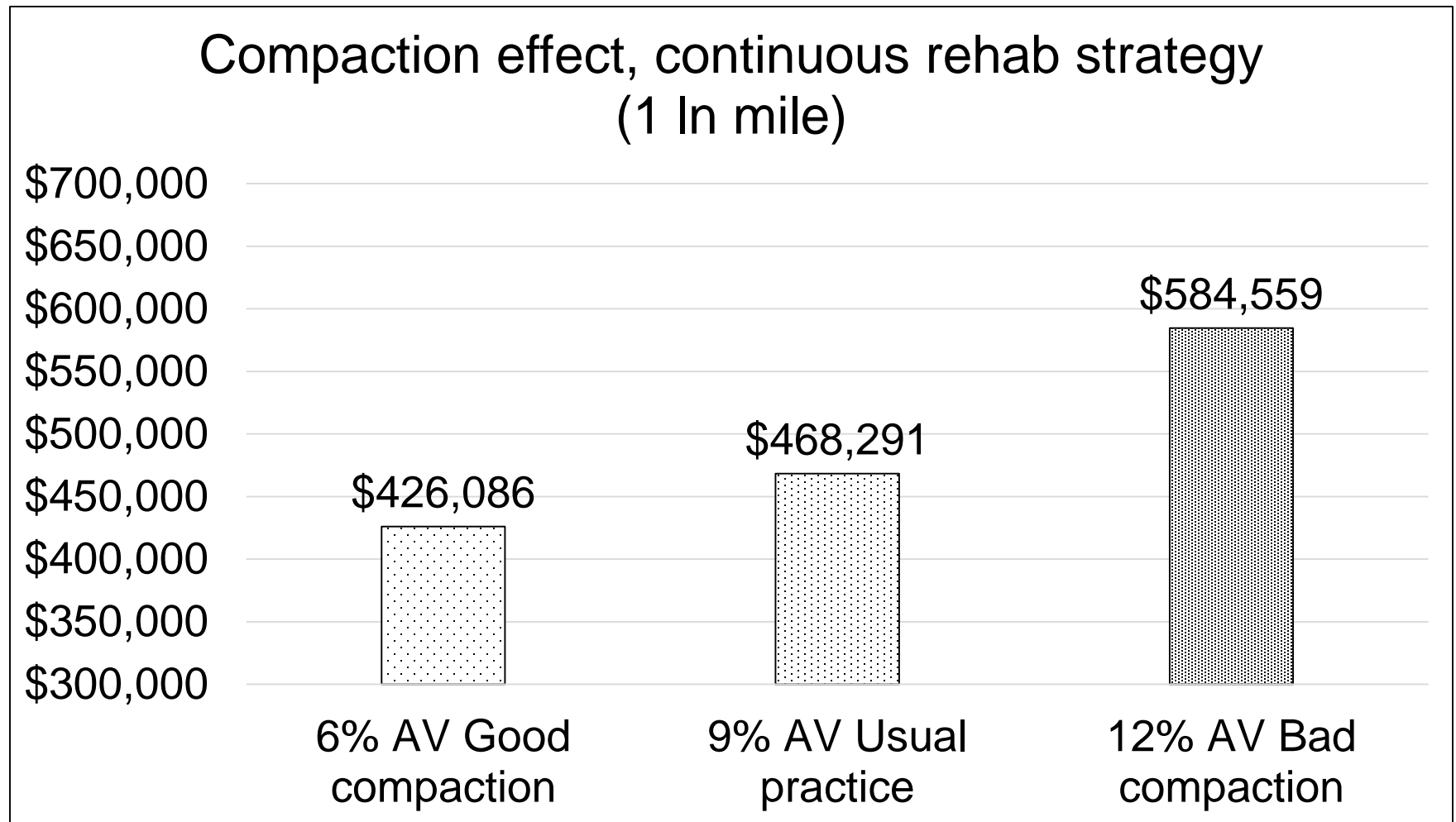
Treatment	Year
Asphalt Mill and Fill	0
Asphalt Mill and Fill	13
Asphalt Mill and Fill	26
Asphalt Mill and Fill	39

6% AV – Better compaction

Treatment	Year
Asphalt Mill and Fill	0
Asphalt Mill and Fill	23
Asphalt Mill and Fill	46

# Life cycle cost analysis results

## effects of asphalt compaction



# Some other changes that can be considered to improve life cycle cost

- Update street and minor concrete mix specifications
  - Reduce cement content and use supplementary cementitious materials
- Full-depth reclamation
- Cold in-place recycling
- Bonded concrete overlays

# Questions?



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# Concrete mix specifications

- Older concrete specifications
  - Written to ensure enough cement to meet strength and durability requirements
  - Often included minimum cement content
- Modern concrete mix designs
  - Minimize need for portland cement
  - Replace with supplementary cementitious materials (SCM)
  - Minimize amount of cement paste in the mix:  
dense aggregate gradations

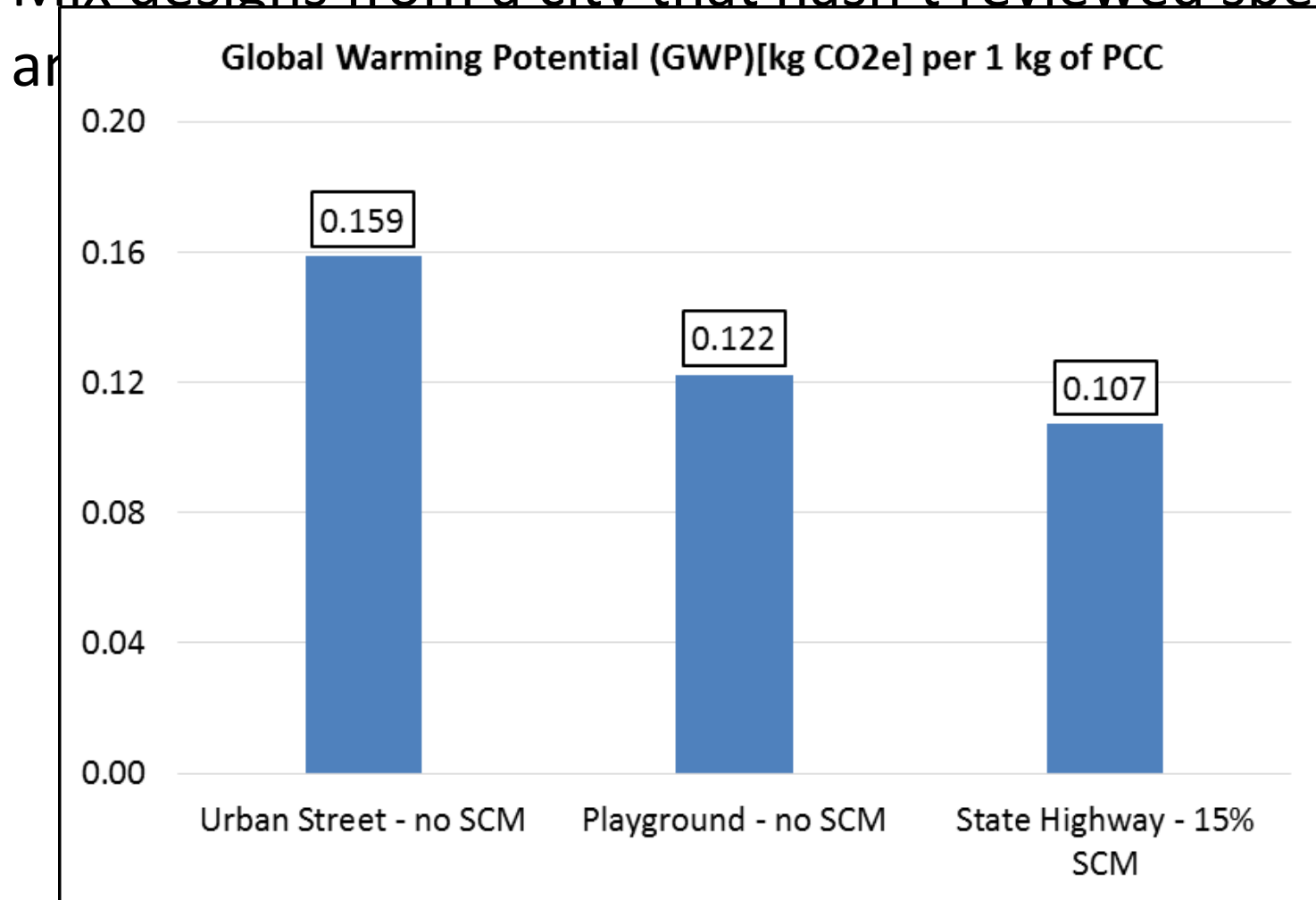


# Concrete mix specifications

- What are SCMs?
  - Fly ash, natural pozzolans, slag cement
  - These can come pre-blended (new ASTM specs)
  - Caltrans also allows 5% replacement with ground limestone
    - Agencies are evaluating up to 15%
- These changes to mix design specs
  - Increase durability of the concrete
  - Decrease environmental impact
- When was the last time you reviewed your concrete specifications?

# Effects on greenhouse gas emissions

- Mix designs from a city that hasn't reviewed specs





# What you need to do

- *Use dense aggregate gradations:* Reduces cost, shrinkage
- *Specify limits on shrinkage and strength:* Reduces water contents
- *Require quality control and quality assurance testing for strength, shrinkage, other properties of interest.* Small cost for sampling and testing
- *Require use of supplementary cementitious materials.* Tend to reduce shrinkage, improve durability, reduce greenhouse gas emissions, may reduce cost
- *Allow the use of blended cements (ASTM C595)*
- *Work with a concrete mix design expert to review your specifications and change them*

# But what about?

- How do I know that these mixes will give me good performance?
- Will these changes in specifications cost me more?
- Are there any other issues such as constructability with these mixes?



# Full-depth Reclamation (FDR)

- For badly cracked asphalt or to correct cross-slope
- Pulverize and stabilize (one pass), compact, overlay
- Stabilization options
  - Foamed asphalt (about 2.5 %) with cement (about 1%)
    - Need some granular material below the asphalt
  - Cement
    - If no granular material below asphalt
    - Enough cement to reach minimum strength and no more!
  - No stabilizer
    - Acts like granular base
  - Engineered emulsions
    - More work needed to develop recommendations

# Cold Central Plant Recycling (CCPR)

- Like FDR but set up a mobile plant on site
- Mill out asphalt, process on site, put back
- Can do any required subgrade stabilization





# Cold In-place Recycling (CIR)

- Partial depth (top 2 to 5 inches)
- Mill and stabilize, compact, overlay
- Stabilized with emulsion and a small amount of cement
- Must achieve correct gradation

